

MINUTES
Legislative Post Audit Committee
September 10, 2024

Call to Order

Welcome – The meeting was called to order by Chair Caryn Tyson at 1:03 p.m. in Room 546-S of the Statehouse. Committee members present:

Sen. Caryn Tyson, Chair
Sen. Ethan Corson
Sen. Mike Petersen
Sen. Mike Thompson
Sen. Mary Ware

Rep. Shannon Francis, Vice Chair
Rep. Jason Probst
Rep. Tom Sawyer
Rep. Sean Tarwater
Rep. Kristey Williams

Performance Audits and Evaluations

Low-Income Housing Tax Credit Program – Heidi Zimmerman, Principal Auditor, presented the findings from the audit and answered questions from the committee. Alissa Ice, Director of Housing Development at the Kansas Housing Resources Corporation, provided remarks. Alissa and Katie Whitehead, Director of Housing Compliance at the Kansas Housing Resources Corporation, answered questions from the committee.

Temporary Assistance for Needy Families – Samuel Dadds, Senior Auditor, presented the findings from the audit and answered questions from the committee. Dr. Carla Whiteside-Hicks, Director of Economic and Employment Services at the Department for Children and Families, answered questions from the committee.

These audits will be distributed to all legislators.

IT Monitoring Reports

KDHE Early Childhood Data Integration and System Enhancement IT Project Monitoring Memo – Katrin Osterhaus, IT Audit Manager, presented the findings from the monitoring memo and answered questions from the committee.

The memo will be distributed to all legislators.

Legislative Post Audit Operations

LPA Budget – Chris Clarke, Post Auditor, explained the fiscal year 2025

revised budget and fiscal year 2026 budget request. She explained that the LPAC executive committee had approved both budgets. She also explained that after discussion and approval from the full committee, LPA's budget would be submitted to the Legislative Coordinating Council (LCC) for its consideration. Representative Francis made a motion to approve the budget as presented. Representative Probst seconded the motion. Motion carried.

Post Auditor Evaluation Kickoff – Chris Clarke, Post Auditor, provided an update on the annual performance evaluation of the Post Auditor. She explained that Tom Day, Director of Legislative Administrative Services, would be sending an evaluation form to members. He will compile the results, and the committee will deliver the evaluation in a closed executive meeting this winter.

The committee recessed for a short break and resumed the meeting at 2:46 p.m.

Executive Session – IT Security Audits

Individuals allowed to remain in the executive session for the IT security reports of the Kansas State Department of Education, Kansas Historical Society, Larned State Hospital, and Osawatomie State Hospital were:

Legislative Post Audit

- Chris Clarke, Legislative Post Auditor
- Katrin Osterhaus, IT Audit Manager
- Alex Gard, Principal IT Auditor

Kansas State Department of Education

- Frank Harwood, Deputy Commissioner
- Tim Enneking, Assistant Director for Information Technology and Chief Information Security Officer
- Kevin Fross, Enterprise Architect

Kansas Historical Society

- Patrick Zollner, Executive Director
- Matthew J. Chappell, Director of Administration

Larned State Hospital

- Mike Moore, Information Technology Manager

Osawatomie State Hospital

- Lawrence Dickinson, Information Technology Manager
- John Godfrey, Chief Information Security Officer

Representative Francis made the following motion:

I move to recess this open meeting for a closed meeting pursuant to K.S.A. 75-4319(a) to discuss matters relating to the security measures that protect the information systems of the Kansas Department of Education, the Kansas Historical Society, Larned State Hospital and Osawatomie State Hospital with the appropriate individuals under the justification listed in K.S.A. 75-4319(b)(12)(C) because discussion of such matters in an open meeting would jeopardize such security measures; to resume the open meeting in Room 546-S at 4:10 p.m.; and to record this motion, if adopted, in the minutes as a part of the committee's permanent records.

Senator Peterson seconded the motion. Motion carried. Open meeting resumed at 4:10 p.m.

Because of their confidential nature, copies of IT security audits are not distributed to any committees. Staff will notify the Joint Committee on Information Technology, the Joint Committee on Kansas Security, and the House Modernization Committee that these IT audits have been completed and can be presented in executive session.

Consent Calendar

Kristen Rottinghaus, Deputy Post Auditor, reviewed the consent calendar. The consent calendar included approval of the June 18, August 5, and August 14 meeting minutes, acceptance of the completed performance audits and IT security audits presented at today's meeting, and approval of three limited-scope audit requests. Those audit requests included:

- Evaluating SOFTWARE, LLC's Use of Building a Stronger Economy (BASE) 1.0 Grant Funding
- Reviewing the Department of Commerce's Process for Scoring Building a Stronger Economy (BASE) 1.0 Grant Applications
- Evaluating the Adequacy of the Department of Commerce's Pre-Employment Screening

There were no objections, so the items were approved.

Additional Business

Next Meeting Date – Chair Tyson and Chris Clarke, Post Auditor, told the committee LPA staff would canvass members about their availability for a meeting in October. Chair Tyson and Chris will work to schedule the date of the next meeting based on those results.

Adjournment

The meeting adjourned at 4:26 p.m.

Kristen Rottinghaus

12/19/2024

Kristen Rottinghaus, Deputy Post Auditor
signing for Nicole Morrissey, Secretary
Legislative Post Audit Committee

date

All handouts and other documents referred to in these minutes are on file with Legislative Post Audit. Unless specifically noted, the individual remarks recorded herein have not been transcribed verbatim and have not been submitted to the individuals appearing before the committee for editing or corrections.